



Due By April 25, 2008

FD# 99816

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off

Rhode Island Ethics Commission

2007 YEARLY FINANCIAL STATEMENT

RECEIVED
RHODE ISLAND
ETHICS COMMISSION
08 APR 22 PM 12:28

DAVID E BATES
65 PRIMROSE HILL ROAD
BARRINGTON RI 02806-0000

ALL QUESTIONS REFER TO THE CALENDAR YEAR JANUARY 1, 2007 THROUGH DECEMBER 31, 2007 UNLESS OTHERWISE SPECIFIED.

PLEASE ANSWER ALL QUESTIONS AND WHERE YOUR ANSWER IS "NONE" OR "NOT APPLICABLE" SO STATE. ANSWERS SHOULD BE PRINTED OR TYPED, and additional sheets may be used if more space is needed. For clarification of any question, read instruction sheet.

Note: If you are a state or municipal official or employee that is required to file a Yearly Financial Statement, a failure to file the Statement is a violation of the law and may subject you to substantial penalties, including fines. If you received a 2007 Yearly Financial Statement in the mail but believe you did not hold a public position in 2007 or 2008 that requires such filing, you should contact the Ethics Commission (See Instruction Sheet for contact information).

1. BATES (LAST) DAVID (FIRST) E. (INITIAL)

2. 65 PRIMROSE HILL RD (STREET) BARRINGTON (CITY/TOWN) 02806 (ZIP CODE)

NONE
MAILING ADDRESS (If different from home address)

3. List Public Position(s) you hold and governmental unit:

SENATE DISTRICT 32 (PUBLIC POSITION) RI (MUNICIPALITY, STATE OR REGIONAL)

(PUBLIC POSITION) (MUNICIPALITY, STATE OR REGIONAL)

I was elected on 2006 (date) I was appointed on _____ (date) I was hired on _____ (date)

If you no longer hold a public position, state date of termination or resignation _____

4. List elected office(s) for which you were/are a candidate in either calendar year 2007 or 2008 (Read instruction #4)

SENATE DISTRICT 32

5. List the following: NAME OF SPOUSE NAME(S) OF DEPENDENT CHILD OR CHILDREN

ANNE B. BATES

6. List the names of any employer from which you, your spouse, or dependent child received \$1,000 or more gross income during calendar year 2007. If self-employed, list any occupation from which \$1,000 or more gross income was received. If employed by a state or municipal agency, or if self-employed and services were rendered to a state or municipal agency for an amount of income in excess of \$250, list the date and nature of services rendered. If the public position or employment listed in #3, above, provides you with an amount of gross income in excess of \$250 it must be listed here. (Do Not List Amounts.)

NAME OF FAMILY MEMBER EMPLOYED	NAME AND ADDRESS OF EMPLOYER OR OCCUPATION	DATES AND NATURE OF SERVICES RENDERED
DAVID E. BATES	A. N. NUNES AGENCY 549 HOPE ST BRISTOL, RI	1992 - PRESENT PRESIDENT
DAVID E. BATES	RI SENATE	1992 - PRESENT

7. List the address or legal description of any real estate, other than your principal residence, in which you, your spouse, or dependent child had a financial interest.

NAMES	NATURE OF INTEREST	ADDRESS OR DESCRIPTION
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NONE

8. List the name of any trust, name and address of the trustee of any trust, from which you, your spouse, or dependent child or children individually received \$1,000 or more gross income. List assets if known. (Do Not List Amounts.)

NAME OF TRUST: NONE

NAME OF TRUSTEE AND ADDRESS: _____

NAME OF FAMILY MEMBER RECEIVING TRUST INCOME: _____

ASSETS: _____

9. List the name and address of any business, profit or non-profit, in which you, your spouse, or dependent child held a position as a director, officer, partner, trustee, or a management position.

NAME OF FAMILY MEMBER	NAME AND ADDRESS OF BUSINESS	POSITION
DAVID E. BATES	A. N. NUNES AGENCY 549 HOPE ST BRISTOL, RI	PRES - TREAS
ANN B. BATES		SECR - DIRECTOR

10. List the name and address of any interested person, or business entity, that made total gifts or total contributions in excess of \$100 in cash or property during calendar year 2007 to you, your spouse, or dependent child. Certain gifts from relatives and certain campaign contributions are excluded. (See instruction #10)

NAME OF PERSON RECEIVING
GIFT OR CONTRIBUTION

NAME AND ADDRESS OF PERSON OR ENTITY
MAKING GIFT OR CONTRIBUTION

NONE

11. List the name and address of any business in which you, your spouse, or dependent child individually or collectively holds a 10% or greater ownership interest, or a \$5,000 or greater ownership or investment interest.

NAME OF FAMILY MEMBER

DAVID E. BATES
ANNE B. BATES

NAME AND ADDRESS OF BUSINESS

A. N. NUNES AGENCY
549 HOPE ST
BRISTOL

SEE ATTACHED LIST

12. If any business listed in #11, above, did business in excess of a total of \$250 in calendar year 2007 with a state or municipal agency, AND you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS
OF BUSINESS

A N NUNES AGENCY
549 HOPE ST
BRISTOL, RI

NAME OF AGENCY

RI CLEAN WATER
FINANCE

DATE AND NATURE
OF TRANSACTION

6/16/07
RFP - D + O
INSURANCE

13. If any business listed in #11, above, was a business entity subject to direct regulation by a state or municipal agency, AND you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS OF BUSINESS

A N NUNES AGENCY
549 HOPE ST
BRISTOL, RI

NAME OF REGULATING AGENCY

DBR

14. If you, your spouse, or dependent child individually or collectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 2008 and before the date you file this statement **AND** if said business was regulated by a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following:

NAME AND ADDRESS OF BUSINESS

DESCRIPTION OF INTEREST (NOT AMOUNT)
AND DATE ACQUIRED AND/OR DIVESTED

NONE

NAME OF REGULATING AGENCY

HOW REGULATED

15. If you, your spouse, or dependent child individually or collectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 2008 and before the date you file this statement, which did business in excess of \$250 with a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following:

NAME AND ADDRESS
OF BUSINESS

DESCRIPTION OF INTEREST
DATE ACQUIRED AND/OR DIVESTED
(DO NOT INCLUDE AMOUNT)

NAME OF STATE
OR MUNICIPAL AGENCY

NONE

16. If you, your spouse or dependent child were indebted in an amount in excess of one thousand dollars (\$1,000) to any person, business entity or other organization other than (i) any person related to you, your spouse or dependent child at any time within the third degree of consanguinity, or (ii) a financial institution regulated by any state or by the United States where such indebtedness is secured solely by a mortgage of record on real property used exclusively as your principal residence, or (iii) any indebtedness arising from transactions involving credit cards, please list the following:

NAME AND ADDRESS OF DEBTOR

NAME AND ADDRESS OF LENDER

NONE

I certify under penalty of perjury, that this Financial Statement is a complete and accurate response to the questions presented as to the financial information and interests during the year 2007 of myself, my spouse, and my dependent children. I acknowledge that I may request an advisory opinion from the Ethics Commission as to my conduct under the Code of Ethics. I understand that a copy of the Code of Ethics will be provided to me at no cost upon request by contacting the Ethics Commission.



SIGNATURE

State of Rhode Island

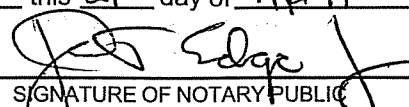
County of

Bristol

Subscribed and sworn to before me at *Bristol* *122* this *21* day of *April* 200*8*.

My Commission expires:

4-7-10



SIGNATURE OF NOTARY PUBLIC

THIS STATEMENT WILL BE RETURNED IF IT IS NOT SIGNED AND NOTARIZED AND IF ANY QUESTION IS NOT ANSWERED.

SECURITIES NAME	SYMBOL
ACCT - IRA FBO DAVID E. BATES	
PERSHING GOVT ACCT	PGR
CAPITAL INCOME BUILDER CLASS F	CIBFX
CURRENCY SHARE SWISS FRANC TR SWISS FRANC SHS	FXF
FIDELITY ADVISOR STRATEGIC INCOME CLASS I	FSRIX
GROWTH FUND OF AMERICA CLASS F	GFAFX
JENNISON NATURAL RESOURCES FUND CLASS A	PGNAX
OPPENHEIMER GOLD & SPECIAL MINERALS CLASS A	OPGSX
T ROWE PRICE CAPITAL APPRECIATION	PRWCX
ROYCE PREMIER FUND	RYPRX
VANGUARD INFLATION PROTECTED SECURITIES FUND	VIPSX
ACCT - FBO DAVID E. BATES LVNG TRST DTD 8/17/95 DAVID E. BATES TTEE	
DREYFUS GOVT PRIME INVESTOR SHARES	MMFDGPM
CAPITAL INCOME BUILDER FUND CLASS A	CAIBX
COLUMBIA ACORN INTERNATIONAL FUND CLASS A	LAIAX
CURRENCYSHARES SWISS FRANC TR SWISS FRANC SHS	FXF
EUROPACIFIC GROWTH FUND CLASS F	AEGFX
FAM VALUE FUND	FAMVX
FIDELITY ADVISOR DIVERSIFIED INTL FUND CLASS A	FDVAX
FIDELITY ADVISOR STRATEGIC INCOME CLASS I	FSRIX
FIDELITY ADVISOR INTERNATIONAL REAL ESTATE FUND CLASS I	FIRIX
FIDELITY SELECT DEFENSE & AEROSPACE FUND	FSDAX
FIDELITY SELECT CONSUMER STAPLES FUND	FDFAV
FIRST EAGLE GOLD FUND CLASS A	SGGDV
GROWTH FUND OF AMERICA CLASS F	GFAFX
ISHARES TR S&P GSSI NAT RES INDEX	IGE
JENNISON NATURAL RESOURCES FUND CLASS A	PGNAX
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS Y	NEZYX
MFS UTILITIES FUND CLASS Y	MMUFV
POWERSHARES EXCHANGE TRADED FD TR WATER RES PORT	PHO
T ROWE PRICE CAPITAL APPRECIATION	PRWCX
SMALLCAP WORLD FUND CLASS F	SCWFX
STREETTRACKS GOLD TR GOLD SHS	GLD
VANGUARD INFLATION PROTECTED SECURITIES FUND	VIPSX
VANGUARD FTSE ALL WORLD FUND	VFWIX
ACCT - ANNE B. BATES LIV TRST DTD 08/17/95 ANNE B. BATES TTEE	
DREYFUS GOVT PRIME INVESTOR SHARES	DGPM
CAPITAL INCOME BUILDER FUND CLASS A	CAIBX
COLUMBIA ACORN INTERNATIONAL FUND CLASS A	LAIAX
CURRENCY SHARES SWISS FRANC TR SWISS FRANC SHS	FXF
EUROPACIFIC GROWTH FUND CLASS F	AEGFX
FIDELITY ADVISOR DIVERSIFIED INTL FUND CLASS A	FDVAX
FIDELITY ADVISOR STRATEGIC INCOME CLASS A	FSTAX

FIDELITY ADVISOR INTERNATIONAL REAL ESTATE FUND CLASS A	
FIDELITY SELECT DEFENSE & AEROSPACE FUND	FSDAX
FIDELITY SELECT CONSUMER STAPLES FUND	FDFAX
FIRST EAGLE GOLD FUND CLASS A	SGGDY
GROWTH FUND OF AMERICA CLASS F	GFAFX
ISHARES TR S&P GSSI NAT RES INDEX	IGE
JENNISON NATURAL RESOURCES FUND CLASS A	PGNAX
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS Y	NEZYX
MFS UTILITIES FUND CLASS A	MMUFX
POWERSHARES EXCHANGE TRADED FD TR WATER RES PORT	PHO
T ROWE PRICE CAPITAL APPRECIATION	PRWCX
STREETTRACKS GOLD TR GOLD SHS	GLD
VANGUARD INFLATION PROTECTED SECURITIES FUND	VIPSX
VANGUARD FTSE ALL WORLD FUND	VFWIX